

Regulations for Faculty Promotion Evaluation of the College of Science of National Sun Yat-sen University

Amended at the 2nd College Affairs Meeting of the 1997 academic year
on April 22, 1998
Reported at the 200th University Faculty Evaluation Committee Meeting on June 12, 1998
Amended at the 1st College Affairs Meeting of the 1998 academic year
on October 9, 1998
Reported at the 209th University Faculty Evaluation Committee Meeting on December 30, 1998
Amended at the 1st College Affairs Meeting of the 1999 academic year
on September 30, 1999
Amended at the 2nd College Affairs Meeting of the 1999 academic year
on April 12, 2000
Reported at the 232nd University Faculty Evaluation Committee Meeting on April 25, 2000
Amended at the 1st College Affairs Meeting of the 2004 academic year
on October 27, 2004
Reported at the 292nd University Faculty Evaluation Committee Meeting on December 10, 2004
Amended at the 3rd College Affairs Meeting of the 2005 academic year
on May 11, 2006
Amended at the 1st College Affairs Meeting of the 2006 academic year
on November 7, 2006
Reported at the 306th University Faculty Evaluation Committee Meeting on January 15, 2007
Amended at the 1st College Affairs Meeting of the 2008 academic year
on September 24, 2008
Reported at the 317th University Faculty Evaluation Committee Meeting on October 28, 2008
Amended at the 2nd College Affairs Meeting of the 2010 academic year
on March 30, 2011
Amended at the 4th College Affairs Meeting of the 2010 academic year
on June 15, 2011
Amended at the 1st College Affairs Meeting of the 2011 academic year
on December 8, 2011
Approved at the 339th University Faculty Evaluation Committee Meeting on January 12, 2012
Amended at the 4th College Faculty Evaluation Committee Meeting of the 2015 academic year
on February 24, 2016
Amended at the 1st College Affairs Meeting of the 2015 academic year
on February 25, 2016
Amended and approved at the 372nd University Faculty Evaluation Committee Meeting on March 24, 2016
Amended at the 2nd College Faculty Evaluation Committee Meeting of the 2016 academic year
on December 8, 2016
Amended at the 1st College Affairs Meeting of the 2016 academic year
on December 22, 2016
Approved at the 378th University Faculty Evaluation Committee Meeting on January 5, 2017
Amended and approved at the 4th College Faculty Evaluation Committee Meeting of the 2017 academic year
on March 1, 2018
Amended and approved at the 2nd College Affairs Meeting of the 2017 academic year
on April 12, 2018
Approved at the 387th University Faculty Evaluation Committee Meeting on May 3, 2018
Amended and approved at the 4th College Faculty Evaluation Committee Meeting of the 2018 academic year
on February 27, 2019
Amended and approved at the 2nd College Affairs Meeting of the 2018 academic year
on April 11, 2019
Approved at the 393rd University Faculty Evaluation Committee Meeting on May 2, 2019

Article 1 The Regulations for Faculty Promotion Evaluation (hereinafter referred to as “the Regulations”) of the College of Science (hereinafter referred to as “the College”) are formulated in accordance with the Regulations for Establishment of Faculty Evaluation Committee and Regulations for Promotion Evaluation of Faculty and Researchers of National Sun Yat-sen University (hereinafter referred to as “NSYSU”) in order to promote full-time faculty of the College.

Article 2 A full-time faculty member at any rank or teaching assistant in the old employment structure (with a teaching assistant certificate that was obtained before the enforcement of the amendment to the Act Governing the Appointment of Educators) who applies for promotion shall meet requirements specified in the Regulations for Promotion

Evaluation of Faculty and Researchers of NSYSU.

Article 3 Each department/institute shall submit approved applications to the College Faculty Evaluation Committee five days prior to the dates specified by NSYSU and the College. Late submission will not be accepted.

Article 4 Specialized publication, technical report, or teaching research publication submitted for promotion application must meet the following requirements for each rank. If the work has great academic or practical value, the applicant is not subject to the following requirements after the faculty evaluation committee grants its approval.

1. Promotion to Professor:

- (1) Promotion based on Specialized Publication: After being promoted to associate professor, the applicant is required to publish at least five papers in SCI journals indexed in ISI (including the expanded version) as the first author or corresponding author prior to the promotion application. If a specialized publication is completed by co-first authors and multiple corresponding authors, the contribution shall be equally divided by the total number of co-first authors and corresponding authors for that publication to define the number of specialized publications submitted by the applicant. The Representative Publication must be published on behalf of NSYSU at the current rank within three years prior to the promotion application.
- (2) Promotion based on Technical Report: After being promoted to associate professor, the applicant is required to receive the Outstanding Teaching Award (the industry-academia research category) or Excellence in Industry-Academia Research Award of NSYSU; or at least score 24 points (for research project subsidies and other relevant achievements in seven years at the current rank) specified in A2 of the Faculty Promotion Scoring Form for Technical Application of NSYSU. In addition, the R&D outcomes of the representative technical report shall be presented on behalf of NSYSU at the current rank within three years prior to the promotion application.
- (3) Promotion based on Teaching Research Publication: After being promoted to associate professor, the applicant is required to receive the Outstanding Teaching Award (the teaching category) or Excellent Teaching Award of NSYSU and conduct one project of the Teaching Practice Research Program of the Ministry of Education. The applicant also needs to publish his or her Representative Teaching Research Publication as the first author or corresponding author on behalf of NSYSU within three years prior to the promotion application, and

make a number of publications stated in the Promotion based on Specialized Publication at the current rank.

2. Promotion to Associate Professor:

- (1) Promotion based on Specialized Publication: After being promoted to assistant professor, the applicant is required to publish at least five papers in SCI journals indexed in ISI (including the expanded version) as the first author or corresponding author prior to the promotion application. If a specialized publication is completed by co-first authors and multiple corresponding authors, the contribution shall be equally divided by the total number of co-first authors and corresponding authors for that publication to define the number of specialized publications submitted by the applicant. The Representative Publication must be published on behalf of NSYSU at the current rank within three years prior to the promotion application. An extended piece of the applicant's dissertation is acceptable (but cannot be submitted as the Representative Publication).
- (2) Promotion based on Technical Report: After being promoted to assistant professor, the applicant is required to receive the Outstanding Teaching Award (the industry-academia research category) or Excellence in Industry-Academia Research Award of NSYSU; or at least score 24 points (for research project subsidies and other relevant achievements in seven years at the current rank) specified in A2 of the Faculty Promotion Scoring Form for Technical Application of NSYSU. In addition, the R&D outcomes of the representative technical report shall be presented on behalf of NSYSU at the current rank within three years prior to the promotion application.
- (3) Promotion based on Teaching Research Publication: After being promoted to assistant professor, the applicant is required to receive the Outstanding Teaching Award (the teaching category) or Excellent Teaching Award of NSYSU and conduct one project of the Teaching Practice Research Program of the Ministry of Education. The applicant also needs to publish his or her Representative Teaching Research Publication as the first author or corresponding author on behalf of NSYSU within three years prior to the promotion application, and make a number of publications stated in the Promotion based on Specialized Publication at the current rank.

3. Promotion to Assistant Professor: After being promoted to lecturer, the applicant is required to publish at least three papers in national or international academic journals. One of the papers shall be published on behalf of NSYSU and demonstrate the applicant's independent research ability.

Article 5 Each Department/Institute Faculty Evaluation Committee shall send the specialized publication, technical report, or teaching research publication to three external reviewers appointed by the dean for the first review. The College Faculty Evaluation Committee shall send the specialized publication, technical report, or teaching research publication approved by the department/institute to three external reviewers appointed by the University for the second review. The College Faculty Evaluation Committee may ask the department/institute to provide external review results for reference if necessary.

External reviewers are selected according to the Regulations for Promotion Evaluation of Faculty and Researchers of NSYSU.

A maximum of ten specialized publications, technical reports, or teaching research publications can be submitted. The applicant shall select one of the works as the Representative Publication and the rest will be listed as reference works. All works of a series of research can be combined as the Representative Publication.

Article 6 The College Faculty Evaluation Committee may invite people involved to provide details at the meetings for faculty promotion evaluation.

Article 7 Two-thirds or more of the members of the College Faculty Evaluation Committee must attend the meetings for faculty promotion evaluation.

Each application is evaluated from three aspects: academic research/technical report (technical application)/teaching research, teaching, and service, according to the Rules of Faculty Promotion Evaluation Scoring of the College. A promotion application is approved if the total score reaches 70 or above. The scoring percentage for each aspect is specified below:

1. Academic research (including technical application): 70%; teaching performance: 20%; and service: 10%.
2. Teaching research: 60%; teaching performance: 30%; and service: 10%.

Article 8 The College Faculty Evaluation Committee shall notify the applicant who has been rejected of specific reasons and provide him or her with evaluation feedback from the members.

If the applicant has any objection to the result of the second review, he or she can raise the objection according to the Regulations for Promotion Evaluation of Faculty and Researchers of NSYSU or file an application for re-evaluation according to the rules stipulated in the Organization and

Key Duties of NSYSU Faculty Grievance Committee.

Article 9 Matters not stated in the Regulations shall be handled according to the Regulations Governing Accreditation of Teacher Qualifications at Junior Colleges and Institutions of Higher Education, as well as the Regulations for Promotion Evaluation of Faculty and Researchers and relevant rules of NSYSU.

Article 10 The Regulations become effective after being approved at the College Affairs Meeting, submitted to the University Faculty Evaluation Committee for deliberation, and ratified by the President. Amendments to the Regulations shall follow the same procedure.