

Regulations for Governing the Management of Information Related to Research and Development Results

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Article 1 This regulations is established to protect and properly manage and use the data related to National Sun Yat-sen University (hereinafter referred to as NSYSU) research and development results and to carry out confidential operation procedures for confidential data.

Article 2 Information related to research and development results referred to in this regulations refers to documents, drawings, floppy disks, tapes, compact discs, microfilms, integrated circuit chips and all other media or messages that can be read, viewed, listened to, or used to help the comprehension of the recorded message.

Article 3 The inventor or creator (hereinafter collectively referred to as inventor) of the research and development results that are legally or contractually owned by the NSYSU must, at the time of patent application, submit electronic files of the research and development results or go to the Science and Technology Research and Development Results Information System (STRIKE) of the National Science and Technology Council to log in the research and development results online. After the inventor has submitted or logged in the research and development results related information, staffers at Innovation Intellectual Property Division at the NSYSU Office of Global Industry-Academe Collaboration and Advancement will verify the information, and Innovation Intellectual Property Division staffer who handles this case shall not arbitrarily delete or modify the confirmed information.

Article 4 The storage mechanism for safekeeping the information related to research and development results shall provide the capability of keeping electronic files permanently. In addition to storing the files on the computer equipment located in the school, they should be backed up off-site and updated weekly.

Article 5 Except for the information that the director of the Innovation Intellectual Property Division has reviewed and determined as not confidential that can be made public to solicit technology transfers, all information related to research and development results is classified as confidential and may not be revealed to the outside. However, information subsequently approved by competent authority as public is not subject to this restriction.

- Article 6 Information related to research and development results shall not be accessed by people other than the concerned case workers at Innovation Intellectual Property Division and the supervisor who must know for business reasons, and the inventor can access only the related information of his own research and development results. The case worker, supervisor, and creator who can access the confidential information on research and development results also have the obligation to keep it secret.
- Article 7 If a company wishing to pursue technology transfers or other persons with justified reasons request to examine confidential information on research and development results, the inventor and director of the Innovation Intellectual Property Division must assess the necessity of disclosure and require the company or other examiners to sign a confidentiality agreement or affidavit before they can be accompanied by the case worker at the Innovation Intellectual Property Division to examine the information. A detailed record should be kept about the who, when, where, and method of the information viewing.
- Article 8 Regarding the information related to research and development results of the NSYSU that has remained undisclosed, the inventor, a company wishing to pursue technology transfers, or other interested parties may, assert that the circumstances have changed and there is no longer a need to keep confidential information on research and development results from the public and apply to the Innovation Intellectual Property Division to declassify such information. The Innovation Intellectual Property Division shall forward the request to the Technical Review Committee for a resolution. If the committee has approved the request, the Innovation Intellectual Property Division shall make the declassified portion public.
- Article 9 Matters not covered in this regulation should be handled in accordance with relevant laws and regulations.
- Article 10 This regulation was adopted at Administrative Meeting and submitted to the president for approval and implementation. Revisions shall follow the same procedure.