

Guidelines on Governing the pricing of Research and Development Results

Approved by the 2nd Administrative Meeting on September 16, 2015, fall semester of Academic Year 2015
Approved by the 3rd Administrative Meeting on October 05, 2022, fall semester of Academic Year 2022

- Article 1 This regulation is established to effectively manage and use the intellectual property derived from research and development results and provide pricing guidelines for technology transfer licensing or patent grant transactions.
- Article 2 This regulation applies to all technologies, patents, know-how (technical knowledge), integrated circuit layouts, writings, and other research results that are derived from NSYSU research results that have been identified by the Innovation Intellectual Property Division as being eligible for technology transfer licensing or pricing for trading.
- Article 3 The Innovation Intellectual Property Division submits pricing cases for NSYSU research and development results to the Technical Review Committee for decisions.
- Article 4 The Technical Review Committee shall price research and development results based on the market potential and competitiveness of the technology after commercialization, sources of substitute technologies, how much the industry can bear, market value, the costs of research and development, potential recipients of research and development results, and the public good.
- Article 5 The pricing procedure is as follows:
1. Define and identify the subject of pricing.
 2. Collect pricing information.
 3. Perform calculations.
 4. Issue pricing results.
 5. The inventor or the company that wants to undertake the technology presents their opinions.
 6. Decide whether to adjust the price.
- Article 6 Technical review committee members or the Innovation Intellectual Property Division staffer who handles the case shall recuse themselves from the pricing process in the following situations:
1. If they are the spouse or a third-degree or closer relative by blood or marriage of the inventor or the person in charge of the company that is seeking the subject of the pricing case.
 2. If they themselves or their related parties serve as a director, supervisor, or manager of the subject of the pricing case, except for if they serve as a representative of government-owned shares in the positions of a director or supervisor.
 3. If they themselves or their related parties were within a year of this case in an employer-employee or agency relationship with the company that is seeking the subject of the pricing case or its person in charge.
 4. If they themselves or their related parties were within a year of this case engaged with the company that is seeking the subject of the pricing case or its person in

charge in financial transactions such as price, interest rate, loans, investments, endorsements, or guaranties that are not in line with the normal and reasonable transactions of the market in the past year.

The related parties mentioned in the preceding paragraph include the principal's spouse, family members living together, second-degree relatives or closer, and the trustee of the principal's or his or spouse's trust property. The Technical Review Committee members and the Innovation Intellectual Property Division staffer who handles the case shall sign a declaration of interest avoidance before proceeding with the pricing process, and the declaration shall be submitted to the Innovation Intellectual Property Division for future reference.

- Article 7 If the pricing decision can't be executed because of special circumstances, a separate request for adjustments shall be sent to the president for approval.
- Article 8 This regulation was adopted at Administrative Meeting and submitted to the President for approval and execution. Subsequent revisions are subjected to the same approval process.